

From the INTERNATIONAL SEARCHING AUTHORITY To: DAVID P. OLYNICK BEYER WEAVER & THOMAS, LLP 2030 ADDISON STREET P.O. BOX 778, 7TH FLOOR BERKELEY, CA 94704		PATENT COOPERATION TREATY MATTER #: <u>IGT1PO42WO/US</u> ATTY1: <u>JW</u> ATTY2: <u>SP</u> ATTY3: <u>DPB</u> ACTIONS(S): <u>Voluntary claim amendment</u> <u>EIDS</u> DUE DATE(S): <u>5-25-02</u> <u>5-20-02</u> DOCKETED: <u>4-1-02</u> BY: <u>CU</u> AUDITED BY: <u>JA 4/1/02</u>	PCT NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION (PCT Rule 44.1)
		Date of Mailing (day/month/year) <b>25 MAR 2002</b>	
Applicant's or agent's file reference IGT1PO42.WO		FOR FURTHER ACTION See paragraphs 1 and 4 below	
International application No. PCT/US01/32368		International filing date (day/month/year) 15 OCTOBER 2001	
Applicant INTERNATIONAL GAME TECHNOLOGY			

1. ☒ The applicant is hereby notified that the international search report has been established and is transmitted herewith.

**Filing of amendments and statement under Article 19:**  
 The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the International Bureau of WIPO  
 34, chemin des Colombettes  
 1211 Geneva 20, Switzerland  
 Facsimile No.: (41-22) 740.14.35

**For more detailed instructions**, see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ **With regard to the protest** against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.

Within **19 months** from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within **20 months** from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer M. A. SAGER Telephone No. (703) 308-1440	 Sheila Vanev Paralegal Specialist Technology Center 3700
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# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: DAVID P. OLYNICK  
BEYER WEAVER & THOMAS, LLP  
2030 ADDISON STREET  
P.O. BOX 778, 7TH FLOOR  
BERKELEY, CA 94704

## PCT

### NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

Applicant's or agent's file reference IGT1PO42.WO	Date of Mailing (day/month/year) <b>25 MAR 2002</b>
International application No. PCT/US01/32368	International filing date (day/month/year) 15 OCTOBER 2001
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Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

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M. A. SAGER

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*Sheila Venev*  
**Patent Specialist**  
**Technology Center 3700**

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference IGT1PO42.WO	<div style="display: flex; justify-content: space-between;"> <div style="text-align: center;"><b>FOR FURTHER ACTION</b></div> <div style="font-size: small;">see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.</div> </div>	
International application No. PCT/US01/32368	International filing date ( <i>day/month/year</i> ) 15 OCTOBER 2001	(Earliest) Priority Date ( <i>day/month/year</i> ) 19 OCTOBER 2000
Applicant INTERNATIONAL GAME TECHNOLOGY		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 5 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

#### 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the
- ☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☒ **Unity of invention is lacking** (See Box II).

4. With regard to the **title**,

- ☒ the text is approved as submitted by the applicant.
- ☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

- ☐ the text is approved as submitted by the applicant.
- ☒ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No. 2

- ☒ as suggested by the applicant.
- ☐ because the applicant failed to suggest a figure.
- ☐ because this figure better characterizes the invention.
- ☐ None of the figures.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/32368

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-14

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

**Box III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)**

A gaming terminal data repository (200, 410) may be used to store game software components, game software component information and gaming transaction information for a plurality of gaming terminals owned by a plurality of gaming entities. The repository (200) may store the game software component information and the gaming transaction information in a database partitioned according to the different gaming entities in a manner allowing a game software component configuration of a particular gaming machine (218, 220, 222, 600, 602) to be easily analyzed and modified. Using various update triggers (800), game software components for gaming terminals (218, 220, 222, 600, 602) connected to the gaming machine terminal data repository (200, 410) may be automatically updated. The gaming machines (218, 220, 222, 600, 602) configured or designed to receive game software components from the repository (200, 410) may present a game play using a combination of game software components residing on the gaming machine and the game software components received from the repository (200, 410).

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/32368

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A63F 9/24; G06F 9/44  
US CL : 717/173, 168; 709/ 200, 217, 225; 463/40  
According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 717/173, 168; 709/ 200, 217, 225; 463/40

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
none

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
none

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5759102 A (PEASE et al) 02 June 1998, entire document.	1-14
Y	US 5941947 A (BROWN et al) 24 August 1999, entire document.	9-14

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

20 FEBRUARY 2002

Date of mailing of the international search report

25 MAR 2002

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
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Washington, D.C. 20231

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*Sheila Venezy*  
Paralegal Specialist  
Technology Center 3700

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/32368

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claim(s) 1-14, drawn to gaming terminal data repository.

Group II, claim(s) 15-19, drawn to gaming machine.

Group III, claim(s) 20-33, drawn to method.

Group IV, claim(s) 34-43, drawn to method.

Group V, claim(s) 44-47, drawn to method.

The inventions listed as Groups I and II-V do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the special technical features of Group I invention includes the particular structure using triggers to update software components, as claimed which is not claimed in Group II-V claims; the special technical features of Group II invention includes the particular combining of software components to present a game using structure, as particularly claimed which is not claimed in Group I and III-V claims; the special technical features of Group III invention includes the particular steps of modifying game play to present a game, as particularly claimed which are not present in Group I-II and IV-V claims; the special technical features of Group IV claims includes the particular steps for accessing transaction information, as particularly claimed which is not present in Group I-III and V claims, and; the special technical features of Group V invention includes the particular steps of updating software components, as particularly claimed which are not present in Group I-IV claims. Therefore, unity of invention is lacking.